



Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application	10/074,599
		Filing Date	13 February 2002
		First Named	HOSSLER, Brad E.
		Group Art Unit	3613
		Examiner Name	not yet assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	29947/93100

ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Postcard	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Form PTO/SB/08A and 08B Cited references	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			

RECEIVED

AUG 30 2002

GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Alice O. Martin
Signature	
Date	8/23/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

8/23/02

Typed or printed name	Pamela A. Mihail		
Signature			
	Date	8/23/02	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



ARNES & THORNBURG

#4
52503 CW

COPY OF PAPERS
ORIGINALLY FILED

2600 Chase Plaza
10 South LaSalle Street
Chicago, Illinois 60603
(312) 357-1313

**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23644

}

Certificate Under 37 CFR 1.8(a)

Application No.: 10/074,599

}

I hereby certify that this correspondence is
being deposited with the United States Postal
Service as first class mail in an envelope
addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231

Confirmation
No.: 8224

}

on August 23, 2002

Filing Date: 13 February 2002

}

Mike O'Malley

Attorney
Docket No.: 29947/93100

}

First Named
Inventor: HOSSLER, Brad E

}

Group Art
Unit: 3613

}

Examiner
Name: not yet assigned

}

Title: ROPE LOCKING DEVICE WITH
AUTOMATIC SAFETY MECHANISM

RECEIVED
AUG 30 2002
CT 3600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO/SB/08. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

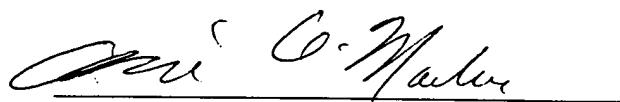
A copy of the references cited is not being provided for review by the Examiner pursuant to 37 C.F.R. 1.98 (d)(i) as they were submitted in an Information Disclosure Statement for U.S. Ser. No. 09/993,386 and relied upon for an earlier effective filing date under 37 U.S.C. 120.

No representation is intended that a complete search has been made of the prior art, or that prior art references other than those listed on the attached form are not available. The citation of these references shall not be construed to be an admission that the information cited in the statement is considered material to patentability of the subject invention.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

Please charge any fees that might be due in connection with this Information Disclosure Statement to Barnes & Thornburg Deposit Account No. 10-0435. (29947/93100)

Respectfully submitted,



Alice O. Martin
Reg. No. 35601

Barnes & Thornburg
2600 Chase Plaza
10 South LaSalle Street
Chicago, Illinois 60603

Date: August 23, 2002